

LifeStages

For All Your Financial Solutions

Premier 

Premier Portfolio Management Service

in partnership with LifeStages Limited

Application Form - May 2012

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I/We wish Premier Fund Managers Limited ("Premier") to manage a portfolio of investments for me/us in accordance with the Terms and Conditions dated May 2012, a copy of which we have received and which I/we agree to. I/We understand that signing this Application Form will constitute an Agreement between us incorporating the Terms and Conditions dated May 2012. In the case of any conflict between this Application Form and the Terms and Conditions, I/we understand that this Application Form will prevail.

Section 1 - PERSONAL DETAILS

Name of Trust/Designation: _____

First Holder/Trustee

Title: _____

First Name: _____

Middle Name(s): _____

Surname: _____

Permanent Residential Address: _____

Postcode: _____

Tel: Work: _____

Home: _____

Mobile: _____

Email Address: _____

Date of Birth: / /

National Insurance/Pension Scheme Tax Reference/Registered Charity Number:

Tax Status: UK Resident Tax Rate: Non Taxpayer
 Non UK Resident Starting Rate
 UK Domiciled Basic Rate
 Non UK Domiciled Higher Rate

Occupation: _____

Security Identifiers

Mother's Maiden Name: _____

Your Place of Birth: _____

Please provide your own personal security question and answer which will be required each time you telephone for information on your account.
(for example "what was the name of my first school", "what is my mother's first name", "what was the name of my first pet" or "what was the name of my favourite childhood friend?")

Security question: _____

Security answer: _____

Second Holder/Trustee

Title: _____

First Name: _____

Middle Name(s): _____

Surname: _____

Permanent Residential Address: _____

Postcode: _____

Tel: Work: _____

Home: _____

Mobile: _____

Email Address: _____

Date of Birth: / /

National Insurance/Pension Scheme Tax Reference/Registered Charity Number:

Tax Status: UK Resident Tax Rate: Non Taxpayer
 Non UK Resident Starting Rate
 UK Domiciled Basic Rate
 Non UK Domiciled Higher Rate

Occupation: _____

Security Identifiers

Mother's Maiden Name: _____

Your Place of Birth: _____

Security question: _____

Security answer: _____

If there are more than two holders/trustees, please provide details in Section 8.

Section 2 - INVESTMENT INSTRUCTIONS AND RESTRICTIONS

Total Investment Amount (including ISA investment and any ISA transfers): £_____ (minimum £25,000)

Number of ISA transfers attached: _____

(A) Direct Investment

Please indicate how much of your total investment is to be divided between the available strategies:

£ _____ Premier Balanced Portfolio (minimum £25,000)

£ _____ Premier Conservative Portfolio (minimum £25,000)

£ _____ Premier Growth Portfolio (minimum £25,000)

(B) Please complete either part (i) or part (ii) below to indicate how monthly/quarterly future contributions are to be allocated.

(i) 100% to be allocated to: _____

If the contributions are to be split between different strategies please complete the below:

(ii) _____ % to be allocated to Premier Balanced Portfolio

_____ % to be allocated to Premier Conservative Portfolio

_____ % to be allocated to Premier Growth Portfolio

(C) ISA Investment (First Holder) Please complete either part (i) or part (ii) below:

(i) I apply to subscribe to an ISA (stocks and shares component) as follows:

Total ISA Investment Amount: £ _____ (maximum £11,280)

Tax Year: 20 / 20 only.

(ii) I apply to subscribe to an ISA (stocks and shares component) as follows and the maximum subscription permitted in each subsequent year until further notice:

Total ISA Investment Amount: £ _____ (maximum £11,280)

Tax Year: 20 / 20

Please indicate which of the strategies selected in (A) above, you wish your ISA to be invested in:

Premier Balanced Portfolio

Premier Conservative Portfolio

Premier Growth Portfolio

(D) ISA Investment (Second Holder) Please complete either part (i) or part (ii) below:

(i) I apply to subscribe to an ISA (stocks and shares component) as follows:

Total ISA Investment Amount: £ _____ (maximum £11,280)

Tax Year: 20 / 20 only.

(ii) I apply to subscribe to an ISA (stocks and shares component) as follows and the maximum subscription permitted in each subsequent year until further notice:

Total ISA Investment Amount: £ _____ (maximum £11,280)

Tax Year: 20 / 20

Please indicate which of the strategies selected in (A) above, you wish your ISA to be invested in:

Premier Balanced Portfolio

Premier Conservative Portfolio

Premier Growth Portfolio

Note: ISA subscription amounts will be transferred from your Premier Portfolio.

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RESTRICTIONS

Are you a director or connected person of any quoted company? Yes No

If yes, there may be legal restrictions on dealing in the shares of these companies. Please give details of the company(ies) below.

Please indicate if there are any companies for which you are obliged to disclose shareholdings under the Companies Act 2006 (or similar overseas legislation). Please indicate the company(ies) and the percentage shareholding in excess of which must be disclosed.

Section 3 - INCOME OPTIONS

Please indicate how you wish us to treat any income generated from your portfolio.

Income reinvested

Income distributed

Income will be paid to your bank account by BACS on or before 20th January, 20th April, 20th July & 20th October.

Please complete your bank details below.

Fixed Quarterly Withdrawals – if you wish to receive a fixed withdrawal from your portfolio, please indicate the amount required below. Please note that these payments will be made to your bank account by BACS on or before the dates below.

I/We wish to receive a fixed withdrawal of (minimum £250) £ _____ paid quarterly on, or before, 20th January, 20th April, 20th July & 20th October, commencing: /

Please complete your bank details below.

Name of Bank or Building Society: _____

Address: _____

Account Name: _____

Account Number: Sort Code: - -

Roll Number (where applicable): _____

For anti money laundering purposes, we need to verify that the account is held in your name. Please provide one of the following items for the bank account you have provided details for above:

- A voided cheque.
- A paying in slip (usually found at the back of your cheque book).
- An original bank statement (not older than six months).

Section 4 - FEES AND CHARGES

Part A - Adviser initial commission and fee share:

Financial Adviser Annual Management Fee Share: _____ % (Up to 1% p.a. plus VAT)

Financial Adviser Initial Commission: _____ % or £ _____ (maximum 4%)

Please note: Any initial commission will be deducted from your investment with the balance invested into your portfolio. All other fees will be deducted directly from your portfolio.

Part B - Premier Portfolio Management Fee:

Premier's annual management fee for managing your portfolio is a maximum of 1% (it may be less). For investments in Premier Funds, this fee is taken from their annual management charge and so is not paid directly by you. Where there is any fee share agreed with your financial adviser or other assets held outside of the Premier funds, the fee will be charged to your portfolio separately and is subject to VAT.

Section 5 - VERIFICATION OF IDENTITY AND FINANCIAL ADVISER DETAILS

Financial Advisers, please complete the following section for all applicants (please copy this page if necessary before completing).

Date of commencement of business relationship: / /

I certify that: (please tick as appropriate)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in Section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. Driving Licence SMITH625085JT4EG, Electricity bill 30.11.03 cus ref 1245678):

The following certified copies of documentary evidence are enclosed:

Proof of identity: _____
Proof of residency: _____
Proof of date of birth: _____

OR

2. I have not verified the identity of the Applicant for the following reason: _____
 3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signed: _____

Name: _____

Position: _____ Date: / /

Full name of Regulated Firm: _____

Address: _____

Postcode: _____

Email Address: _____

FSA Reference Number: _____ Telephone Number: _____

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Section 6 - REPORTING REQUIREMENTS

Address for correspondence if different from the address shown in Section 1:

Please give the name(s) and address(es) and specimen signatures of any person(s) that may give us instructions on your behalf:

Name: _____	Name: _____
Address: _____	Address: _____
_____	_____
Signature: _____	Signature: _____

Please give the name(s) and address(es) and specimen signatures of any person(s) who should receive copies of communication from us:

Name: _____	Name: _____
Address: _____	Address: _____
_____	_____
Signature: _____	Signature: _____

Section 7 - ADDITIONAL INFORMATION AND SPECIAL INSTRUCTIONS

Having first agreed them with Premier, please indicate any additional terms or special instructions we should note in relation to your Portfolio.

If you do **NOT** wish to be classified as a Retail Client, as specified in the Terms and Conditions, please tick the appropriate box to indicate your required classification:

Professional Client or Eligible Counterparty

Section 8 - ADDITIONAL HOLDERS/TRUSTEES/RECIPIENTS

Third Holder/Trustee

Title: _____
First Name(s): _____
Surname: _____
Address: _____
_____ Postcode: _____

Fourth Holder/Trustee

Title: _____
First Name(s): _____
Surname: _____
Address: _____
_____ Postcode: _____

Section 9 - ONLINE VALUATION SERVICE

Our Online Valuation Service allows you to access information about your Portfolio and carry out valuations. If you would like access to the Online Valuation Service, simply provide your email address in the box below and we will post your log-in details to you.

Email Address:

If you elect to access the Online Valuation Service, you acknowledge that you have read and understood the terms of usage in the **Premier Private Client Portfolio Service Terms and Conditions**.

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Section 10 - DECLARATION

I/We confirm that we have received, read and understood the Terms and Conditions dated May 2012, of the Premier Private Client Portfolio and accept and agree to the Terms and Conditions, I/we confirm that I/we have full and unrestricted power to employ and hereby appoint Premier based on the terms of the Agreement. Where I/we am/are the trustee(s) of an Occupational Pension Scheme, Premier is appointed for the purposes of the Pensions Act 1995 and the Occupational Pension Schemes (Scheme Administration) Regulations 1996.

I/We have read and understood the risk warnings and notifications contained in the Terms and Conditions and understand the nature of the underlying investments in which my Portfolio will be invested in accordance with the Investment Strategy indicated in this Application Form.

I/We confirm that the investments and/or cash comprising the Portfolio are owned beneficially by me/us and are free from all liens and I/we undertake that none will arise from my/our acts or omissions.

I/We agree to indemnify Premier against all costs, losses, claims and expenses incurred except where these have been incurred due to Premier's negligence, wilful default or fraud. Where I/we am/are a trustee, my/our liability is limited, in the absence of fraud, to the assets of the trust from time to time.

I/We confirm that the information provided to Premier or any competent authority in relation to my/our status, residence and domicile for taxation and money laundering purposes is complete and correct and I/we agree to promptly provide any further information properly required by any competent authority or by Premier in order to comply with its regulatory and contractual obligations and to notify Premier promptly if there is any material change in the information provided. I/We acknowledge that my/our failure to provide information requested by Premier may adversely affect Premier's ability to provide services under the Agreement and the quality of services that Premier may provide.

Where I/we am/are entering into the Agreement by Distance Contract, I/we request Premier to commence performance of its obligations under the Agreement on the effective date of the Agreement as specified in the Terms and Conditions and I/we acknowledge that this date may be before the end of the cancellation period referred to in the Terms and Conditions. **Please tick this box if this is the case.**

Where I/we have applied for the Online Valuation Service, I/we confirm that we have read and agree to its Terms as stated in the Terms and Conditions.

Where a financial adviser acts on my behalf, I/we understand that my financial adviser will receive an initial charge and a share of the annual management fee charged by Premier as stated in Section 4. I/We understand that the initial charge will be deducted from the value of my/our portfolio and that Premier will, if required, sell part of my investments to raise funds to cover the share of the management fee owed to my adviser.

For ISA investors: I apply to subscribe for the Premier Portfolio ISA.

I declare that:

- I am aged 18 or over.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or be married to, or in a civil partnership with, a person who performs such duties, and will inform Premier Fund Managers Limited if I cease to be so resident and ordinarily resident, or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I have not subscribed, and will not subscribe more than the overall subscription amount in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- All subscriptions made, and to be made belong to me and I am the beneficial owner of the funds being used for this ISA.
- I have read and understood the ISA Terms and Conditions of the Premier Private Client Portfolio and agree to be bound by them.
- I declare that the information given in this Application Form is true and correct to the best of my knowledge and belief. I will notify Premier Fund Managers Limited without delay of any change in the information given.

I authorise Premier Fund Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in the ISA.
- To make on my behalf any claims to relief from tax in respect of the ISA investments.

Money Laundering Regulations 2007

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity, address and date of birth. This will normally be a passport or similar form of identity check, together with proof of address from a recent utility bill (not a mobile telephone bill). Electronic checking systems may be used to verify identity. Please note that where a payment is made by a Building Society cheque or Bankers draft, confirmation of the account from which the funds originate must be provided.

Data Protection Act 1998

I/We agree to the following:

- The information you provide in the Agreement (or subsequently provide) will be held and processed by Premier as data controller for the purposes of the Data Protection Act 1998.
- Premier may hold and process information to other companies in the Premier Asset Management marketing group and to third party agents of such companies or of Premier for any of the above purposes. If you do not want your personal data to be used for marketing purposes, **please tick this box.**
- Where a financial adviser acts on your behalf, Premier will disclose information concerning your investments to that financial adviser.
- Save as noted above, Premier will not provide to any third party any information relating to you, unless you have given your consent or Premier is required to do so by law.
- Premier will only disclose information relating to the Portfolio over the telephone to the person(s) named in Section 1 subject to the successful disclosure of the security identifiers provided on the Application.
- You are entitled to request details of any information Premier may hold about you upon payment of a fee and to require Premier to correct any inaccuracies in your personal data.

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Additional Declaration for Trustees

We appoint Premier under the power and authority given to us by the Trust Deed and we delegate to Premier the investment of the Trust property delivered to Premier from time to time under the terms of the Agreement. We hereby represent and warrant that we are empowered by the said Trust Deed to delegate our function in the manner described above.

I/We declare that this application form has been completed to the best of my/our knowledge and belief.

Please submit a certified copy of the Trust Deed and an authorised signatory list with this application in order for us to verify the signature(s) of the Trustee(s).

If an application is for a trust investment, we may need to verify the identity of all trustees, depending on the Trust Deed.

1st Applicant/Trustee	Name:	Date:	<input type="text" value="d"/>	<input type="text" value="d"/>	/	<input type="text" value="m"/>	<input type="text" value="m"/>	/	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>
	Signature:											

2nd Applicant/Trustee	Name:	Date:	<input type="text" value="d"/>	<input type="text" value="d"/>	/	<input type="text" value="m"/>	<input type="text" value="m"/>	/	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>
	Signature:											

3rd Applicant/Trustee	Name:	Date:	<input type="text" value="d"/>	<input type="text" value="d"/>	/	<input type="text" value="m"/>	<input type="text" value="m"/>	/	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>
	Signature:											

4th Applicant/Trustee	Name:	Date:	<input type="text" value="d"/>	<input type="text" value="d"/>	/	<input type="text" value="m"/>	<input type="text" value="m"/>	/	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>	<input type="text" value="y"/>
	Signature:											

Checklist – Before you return your Application, please refer to the checklist below:

- You have read and understood the Terms and Conditions, dated May 2012.
- You have fully completed and signed the Application Form.
- You have enclosed a cheque, made payable to **SEI Re Premier Fund Managers Limited** and/or enclosed documents of title which are to form the Portfolio. If this application is accompanying a Premier SIPP application, any contribution paid by cheque is payable to "Premier SIPP", followed by the client's name.
- If you are applying on behalf of a Trust, you have enclosed a copy of the Trust Deed and a signatory list.
- If applicable, you have enclosed specimen signatures for any persons permitted to give Premier instructions regarding your Portfolio.
- You have enclosed the relevant Anti-Money Laundering documentation for each individual and/or Trustee on the signatory list.

Administration Queries

If you have any queries, you can contact us at our Administration & Head Office as shown below:

Administration & Head Office

Premier Fund Managers Limited
Eastgate Court,
High Street,
Guildford,
Surrey GU1 3DE.

Administration: 0845 130 1122
General: 01483 306 090
Fax: 01483 300 845
Email: clientadmin@premierfunds.co.uk

www.premierfunds.co.uk

This document is issued by

Premier Fund Managers Limited

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May 2012