

LifeStages

For All Your Financial Solutions



PREMIER
ASSET MANAGEMENT

Premier Portfolio Management Service

in partnership with LifeStages Limited

Application Form

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I/We wish Premier Fund Managers Limited ("Premier") to manage a portfolio of investments for me/us in accordance with the Terms and Conditions, a copy of which we have received and which I/we agree to. I/We understand that signing this Application Form will constitute an Agreement between us incorporating the Terms and Conditions. Please supply me/us with a copy of this Application Form. In the case of any conflict between this Application Form and the Terms and Conditions, I/we understand that this Application Form will prevail.

Section 1 - PERSONAL DETAILS

Name of Trust/Designation; _____

First Holder/Trustee

Title: _____

First Name(s): _____

Surname: _____

Address: _____

Postcode: _____

Tel: Work: _____

Home: _____

Mobile: _____

Email Address: _____

Date of Birth: / /

National Insurance/PSO/Registered Charity Number: _____

Tax Status: UK Resident Non UK Resident UK Domiciled Non UK Domiciled

Tax Rate: Non Taxpayer Starting Rate Basic Rate Higher Rate

Occupation: _____

Security Identifiers

Mother's Maiden Name: _____

Your Place of Birth: _____

Second Holder/Trustee

Title: _____

First Name(s): _____

Surname: _____

Address: _____

Postcode: _____

Tel: Work: _____

Home: _____

Mobile: _____

Email Address: _____

Date of Birth: / /

National Insurance/PSO/Registered Charity Number: _____

Tax Status: UK Resident Non UK Resident UK Domiciled Non UK Domiciled

Tax Rate: Non Taxpayer Starting Rate Basic Rate Higher Rate

Occupation: _____

Security Identifiers

Mother's Maiden Name: _____

Your Place of Birth: _____

Please provide your own personal security question and answer which will be required each time you telephone for information on your account. (for example "what was the name of my first school", "what is my mother's first name", "The name of my first pet" or "What is the name of your favourite childhood friend?")

Security question: _____

Security question: _____

Security answer: _____

Security answer: _____

If there are more than two holders/trustees, please provide details in Section 8.

Section 2 - INVESTMENT INSTRUCTIONS AND RESTRICTIONS

Total Investment Amount (including ISA investment and any ISA transfers): £ _____ (minimum £25,000)

Number of ISA transfers attached: _____

(A) Direct Investment

Please indicate how much of your total investment is to be divided between the available strategies:

£ _____ Premier Balanced Portfolio (minimum £25,000)

£ _____ Premier Conservative Portfolio (minimum £25,000)

£ _____ Premier Growth Portfolio (minimum £25,000)

(B) Please complete either part (i) or part (ii) below to indicate how monthly/quarterly future contributions are to be allocated.

(i) 100% to be allocated to: _____

If the contributions are to be split between different strategies please complete the below:

(ii) _____% to be allocated to Premier Balanced Portfolio

_____ % to be allocated to Premier Conservative Portfolio

_____ % to be allocated to Premier Growth Portfolio

(C) ISA Investment (First Holder) Please complete either part (i) or part (ii) below:

(i) I apply to subscribe to an ISA (stocks and shares component) as follows:

Total ISA Investment Amount: £ _____ (maximum £7,200/£10,200¹) Tax Year: 20 / 20

(ii) I apply to subscribe to an ISA (stocks and shares component) as follows and the maximum subscription permitted in each subsequent year until further notice:

Total ISA Investment Amount: £ _____ (maximum £7,200/£10,200¹) Tax Year: 20 / 20

Please indicate which of the strategies selected in (A) above, you wish your ISA to be invested in:

Premier Balanced Portfolio

Premier Conservative Portfolio

Premier Growth Portfolio

(D) ISA Investment (Second Holder) Please complete either part (i) or part (ii) below:

(i) I apply to subscribe to an ISA (stocks and shares component) as follows:

Total ISA Investment Amount: £ _____ (maximum £7,200/£10,200¹) Tax Year: 20 / 20

(ii) I apply to subscribe to an ISA (stocks and shares component) as follows and the maximum subscription permitted in each subsequent year until further notice:

Total ISA Investment Amount: £ _____ (maximum £7,200/£10,200¹) Tax Year: 20 / 20

Please indicate which of the strategies selected in (A) above, you wish your ISA to be invested in:

Premier Balanced Portfolio

Premier Conservative Portfolio

Premier Growth Portfolio

Note: ISA subscription amounts will be transferred from your Premier Portfolio.

¹ Please note that with effect from 06 October 2009, the maximum subscription per tax year as referred to above, increased to £10,200 for applicants over 50. This new limit will apply to all investors from 05 April 2010.

Section 4 - FEES AND CHARGES [OFFICE USE ONLY]

Fee Scale	Premier Annual Management Fee:	0.75% p.a. plus VAT
	LifeStages Fee Share:	_____ % (up to 1% p.a. plus VAT)
	TOTAL Annual Management Fee:	_____ % (maximum of 1.75% p.a. plus VAT)
	Customer Agreed Remuneration (initial commission):	_____ % (maximum of 4%)

Please note: Any initial commission will be deducted from your investment with the balance invested into your portfolio.
All other fees will be deducted directly from your portfolio.

Section 5 - VERIFICATION OF IDENTITY AND FINANCIAL ADVISER DETAILS

Financial Advisers, please complete the following section for all applicants (please copy this page if necessary before completing).

Date of commencement of business relationship: / /

I certify that: (please tick as appropriate)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in Section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. Driving Licence SMITH625085JT4EG, Electricity bill 30.11.03 cus ref 1245678):

*Certified Copy
Attached*

Proof of identity: _____

Proof of residency: _____

Proof of date of birth: _____

OR

2. I have not verified the identity of the Applicant for the following reason: _____
3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signed: _____

Name: _____

Position: _____ Date: / /

Full name of Regulated Firm: _____

Address: _____

Postcode: _____

FSA Reference Number: _____ Telephone Number: _____

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Section 6 - REPORTING REQUIREMENTS

Address for correspondence if different from the address shown in Section 1:

Please give the name(s) and address(es) and specimen signatures of any person(s) that may give us instructions on your behalf:

Name: _____ Name: _____

Address: _____ Address: _____

Signature: _____ Signature: _____

Please give the name(s) and address(es) and specimen signatures of any person(s) who should receive copies of communication from us:

Name: _____ Name: _____

Address: _____ Address: _____

Signature: _____ Signature: _____

In the future, we intend to have the facility to send valuations, contract notes and other statements electronically by e-mail. If you would prefer to receive these documents by e-mail, please tick the box below and ensure that your e-mail address is clearly shown in Section 1. Documents will be sent electronically to the first holder/trustee. Please ensure that you have read and agree to paragraph 10.3 of the Terms and Conditions, particularly in relation to the security of the internet.

I/We wish to receive valuations, contract notes and other statements electronically when the facility to do so is available

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Checklist – Before you return your Application, please refer to the checklist below.

- You have read and understood the Terms and Conditions.
- You have fully completed and signed the Application Form.
- You have enclosed a cheque, made payable to Premier Fund Managers Limited General Client A/C, and/or enclosed documents of title which are to form the Portfolio.
- If you are applying on behalf of a Trust, you have enclosed a copy of the Trust Deed.
- If applicable, you have enclosed specimen signatures for any person that is permitted to give Premier instructions regarding your Portfolio.
- If fees are to be paid by direct debit, you have completed and signed the direct debit mandate.
- You have enclosed the relevant Anti-Money Laundering documentation.

Section 10 - DECLARATION

I/We confirm that we have received, read and understood the Terms and Conditions of the Premier Private Client Portfolio and accept and agree to the Terms and Conditions, I/we confirm that I/we have full and unrestricted power to employ and hereby appoint Premier on the terms of the Agreement. Where I/we am/are the trustee(s) of an Occupational Pension Scheme, Premier is appointed for the purposes of the Pensions Act 1995 and the Occupational Pension Schemes (Scheme Administration) Regulations 1996.

I/We have read and understood the risk warnings and notifications contained in Section 6 of the Terms and Conditions and understand the nature of the underlying investments in which my Portfolio will be invested in accordance with the Investment Strategy indicated in Section 2 of this Application Form.

I/We confirm that the investments and/or cash comprising the Portfolio are owned beneficially by me/us and are free from all liens and I/we undertake that none will arise from my/our acts or omissions.

I/We agree to indemnify Premier against all costs, losses, claims and expenses incurred except where these have been incurred due to Premier's negligence, wilful default or fraud. Where I/we am/are a trustee, my/our liability is limited, in the absence of fraud, to the assets of the trust from time to time.

I/We confirm that the information provided to Premier or any competent authority in relation to my/our status, residence and domicile for taxation and money laundering purposes is complete and correct and I/we agree to promptly provide any further information properly required by any competent authority or by Premier in order to comply with its regulatory and contractual obligations and to notify Premier promptly if there is any material change in the information provided. I/We acknowledge that my/our failure to provide information requested by Premier may adversely affect Premier's ability to provide services under the Agreement and the quality of services that Premier may provide.

Where I/we am/are entering into the Agreement by Distance Contract, I/we request Premier to commence performance of its obligations under the Agreement on the effective date of the Agreement as specified in paragraph 2.1 of the Terms and Conditions and I/we acknowledge that this date may be before the end of the cancellation period referred to in paragraph 5.16 of the Terms and Conditions.

Where I/we have applied for the Online Valuation Service, I/we confirm that we have read and agree to its Terms and Conditions as stated in Section 9.

Where a financial adviser acts on my behalf, I/we understand that my financial adviser will receive an initial charge and a share of the annual management fee charged by Premier as stated in Section 4. I/We understand that the initial charge will be deducted from the value of my/our portfolio.

For ISA investors: I apply to subscribe for the Premier Portfolio ISA.

I declare that:

- I am aged 18 or over.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or be married to, or in a civil partnership with, a person who performs such duties, and will inform Premier Fund Managers Limited if I cease to be so resident and ordinarily resident, or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I have not subscribed and will not subscribe more than the overall subscription amount in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- I have not, and will not, subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- All subscriptions made, and to be made belong to me and I am the beneficial owner of the funds being used for this ISA.
- I agree to be bound by the Terms and Conditions applicable to the Premier Portfolio ISA and give the warranties contained therein. A copy of the current ISA Terms and Conditions has been supplied to me.
- I have read and understood the ISA Terms and Conditions of the Premier Portfolio.
- I declare that the information given in this Application Form is true and correct to the best of my knowledge and belief. I will notify Premier Fund Managers Limited without delay of any change in the information given.

I authorise Premier Fund Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in the ISA.
- To make on my behalf any claims to relief from tax in respect of the ISA investments.

Money Laundering Regulations 2007

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity, address and date of birth. This will normally be a passport or similar form of identity check, together with proof of address from a recent utility bill (not a mobile telephone bill). Electronic checking systems may be used to verify identity. Please note that where a payment is made by a Building Society cheque or Bankers draft, confirmation of the account from which the funds originate must be provided.

Data Protection Act 1998

I/We agree to the following:

- The information you provide in the Agreement (or subsequently provide) will be held and processed by Premier as data controller for the purposes of the Data Protection Act 1998.
- Premier may hold and process information to other companies in the Premier Asset Management Marketing Group and to third party agents of such companies or of Premier for any of the above purposes. If you do not want your personal data to be used for marketing purposes, please tick this box.
- Where a financial adviser acts on your behalf, Premier will disclose information concerning your investments to that financial adviser.
- Save as noted above, Premier will not provide to any third party any information relating to you, unless you have given your consent or Premier is required to do so by law.
- Premier will only disclose information relating to the Portfolio over the telephone to the person(s) named in Section 1 subject to the successful disclosure of the security identifiers provided on the Application.
- You are entitled to request details of any information Premier may hold about you upon payment of a fee and to require Premier to correct any inaccuracies in your personal data.

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Additional Declaration for Trustees

We appoint Premier under the power and authority given to us by the Trust Deed and we delegate to Premier the investment of the Trust property delivered to Premier from time to time under the terms of the Agreement. We hereby represent and warrant that we are empowered by the said Trust Deed to delegate our function in the manner described above.

I/We declare that this application form has been completed to the best of my/our knowledge and belief.

Please submit a certified copy of the Trust Deed and an authorised signatory list with this application in order for us to verify the signature(s) of the Trustee(s).

If an application is for a trust investment, we may need to verify the identity of all trustees, depending on the Trust Deed.

1st Applicant/Trustee	Signature:	Date: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
2nd Applicant/Trustee	Signature:	Date: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
3rd Applicant/Trustee	Signature:	Date: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
4th Applicant/Trustee	Signature:	Date: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>



Administration Queries

If you have any queries, you can contact us at our Administration & Head Office as shown below:

Administration & Head Office

Premier Fund Managers Limited
Eastgate Court,
High Street,
Guildford,
Surrey GU1 3DE.

Administration: 0845 130 1122

General: 0845 230 9033

Email: clientadmin@premierfunds.co.uk

www.premierassetmanagement.co.uk

This document is issued by

Premier Fund Managers Limited

Premier Portfolio Managers Limited and Premier Fund Managers Limited are both members of the Premier Asset Management Marketing Group and are authorised and regulated by the Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS. Premier Portfolio Managers Limited is an ISA manager and markets a number of funds. Premier Fund Managers Limited manages these and other funds and provides discretionary portfolio management services. Premier Portfolio Managers Limited is also a member of the Investment Management Association. January 2010