

STOCKS & SHARES ISA APPLICATION FORM

1. Personal Details

If you are an existing Premier account holder, please quote your reference number:

Title: _____

First name(s) in full: _____

Surname (family name): _____

Permanent residential address: _____

Postcode: _____

Date of birth (DD/MM/YYYY): / /

Telephone numbers (Inc STD code): Daytime: _____ Evening: _____

Email address: _____

National Insurance Number:

(You should be able to find your National Insurance Number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP or a pension order book)

If you **do not** have a National Insurance Number, please tick here:

Personal Identifier: Place and country of birth: _____

Please provide your own personal security question and answer which will be required each time you telephone for information on your account.
(for example "what was the name of my first school", "what is my mother's first name", "The name of my first pet" or "What is the name of your favourite childhood friend?")

Security question: _____

Security answer: _____

2. Investment Instructions

Please complete either part (i) or part (ii) below.

(i) I apply to subscribe to a Stocks and Shares ISA as follows:

Total ISA Investment Amount: £ _____ (maximum £10,200) Tax Year: 20 / 20

(ii) I apply to subscribe to a Stocks and Shares ISA as follows and the maximum subscription permitted in each subsequent year until further notice:

Total ISA Investment Amount: £ _____ (maximum £10,200) Tax Year: 20 / 20

Please transfer my subscription amount from my Premier Private Client Portfolio.

I enclose a cheque for my subscription amount. Please make this payable to **Premier Fund Managers Limited Client ISA Account**.

3. Declaration & Authority

I wish to transfer my ISA(s) to Premier Fund Managers Limited.

I declare that:

- I am aged 18 or over.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, perform duties which, by virtue of Section 28 of the Income and Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties, and will inform Premier Fund Managers Limited if I cease to be so resident and ordinarily resident, or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I have not subscribed and will not subscribe more than the overall subscription amount in total to a Cash ISA and Stocks and Shares ISA in the same tax year or tax years that I subscribe to this ISA.
- I have not, and will not, subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- All subscriptions made, and to be made belong to me and I am the beneficial owner of the funds being used for this ISA.
- I agree to be bound by the Terms and Conditions applicable to the Premier Private Client Portfolio ISA and give the warranties contained therein. A copy of the current ISA Terms and Conditions has been supplied to me. I have read and understood the ISA Terms and Conditions of the Premier Private Client Portfolio.
- I declare that the information given in this Application Form is true and correct to the best of my knowledge and belief. I will notify Premier Fund Managers Limited without delay of any change in the information given.

I authorise Premier Fund Managers Limited:

- To receive and hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash in the ISA.
- To make on my behalf any claims to relief from tax in respect of the account investments.

Money Laundering Regulations 2007:

under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity, address and date of birth. This will normally be a passport or similar form of identity check, together with proof of address from a recent utility bill (not a mobile telephone bill). Electronic systems may be used to verify identity. Please note that where a payment is made by a Building Society cheque or Bankers Draft, confirmation of the account from where the funds originate must be provided.

I agree to the following in relation to the Data Protection Act 1998:

- The information you provide on your application form (or subsequently) will be held and processed by Premier Fund Managers Limited (a subsidiary of Premier Asset Management Limited) as data controller for the purposes of the Data Protection Act 1998.
- We may hold and process information for the administration of the service(s) for which you are currently applying or may apply for in the future, for the operation of your investment (including e.g. for registration and distribution purposes), for the purposes of statistical analysis, and the marketing of goods and services by this company or other companies in the Premier Asset Management Marketing Group.
- If you do not want your personal data to be used for marketing purposes, please tick this box.
- We may transfer information to other companies in the Premier Asset Management Marketing Group and to third party agents of such companies or of this company for any of the above purposes.
- Premier will only disclose information relating to the Portfolio over the telephone to the person(s) named in Section 1, subject to the successful disclosure of the security identifiers provided on the Application.
- Where a financial adviser acts on your behalf, we will disclose information concerning your investment to that financial adviser.
- Save as noted above, we will not provide to any other third party any information relating to you, unless you have given your consent or unless we are required to do so by law.
- You are entitled to request details of information we may hold about you upon payment of a fee and to require us to correct any inaccuracies in your personal data.

Signature: _____

Date: / /

We may only accept Powers of Attorney (original or certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from Premier Fund Managers Limited. In the case of mental incapacity, the Power of Attorney must be registered and stamped by the Court of Protection.

This document is issued by: Premier Fund Managers Limited, Eastgate Court, High Street, Guildford, Surrey GU1 3DE.

Telephone - Administration: 0845 130 1122 General: 0845 230 9033 Email: clientadmin@premierfunds.co.uk www.premierassetmanagement.co.uk

Premier Fund Managers Limited and Premier Portfolio Managers Limited are both members of the Premier Asset Management Marketing Group and are authorised and regulated by the Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS. Premier Portfolio Managers Limited is an ISA manager and markets a number of funds. Premier Fund Managers Limited provides investment management services to Premier Portfolio Managers Limited and for other clients and investment funds. Premier Portfolio Managers Limited is also a member of the Investment Management Association.

April 2010