

# Premier Income Funds OEIC & Premier Growth Funds OEIC

## OEIC Application Form



Please complete in block capitals, using blue or black ink and return to your Authorised Financial Adviser, or to:  
Premier Portfolio Managers Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.  
Please make all cheques payable to: Premier Portfolio Managers Limited.

### For Direct Investment Only.

If you wish to open an Individual Savings Account (ISA), please complete the Premier Income Funds and Premier Growth Funds ISA Application Form.

## 1. Personal Details - It is possible to have up to 4 joint applicants.

If you are an existing Premier account holder, please quote your reference number:

**1st Applicant/Trustee** Title: \_\_\_\_\_

First name(s) in full: \_\_\_\_\_

Surname (family name): \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Date of birth (DD/MM/YYYY):   /   /     Email address: \_\_\_\_\_

Phone numbers (Inc STD code): Daytime: \_\_\_\_\_ Evening: \_\_\_\_\_

**2nd Applicant/Trustee** Title: \_\_\_\_\_

First name(s) in full: \_\_\_\_\_

Surname (family name): \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Date of birth (DD/MM/YYYY):   /   /     Email address: \_\_\_\_\_

Phone numbers (Inc STD code): Daytime: \_\_\_\_\_ Evening: \_\_\_\_\_

**3rd Applicant/Trustee** Title: \_\_\_\_\_

First name(s) in full: \_\_\_\_\_

Surname (family name): \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Date of birth (DD/MM/YYYY):   /   /     Email address: \_\_\_\_\_

Phone numbers (Inc STD code): Daytime: \_\_\_\_\_ Evening: \_\_\_\_\_

**4th Applicant/Trustee** Title: \_\_\_\_\_

First name(s) in full: \_\_\_\_\_

Surname (family name): \_\_\_\_\_

Permanent residential address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Date of birth (DD/MM/YYYY):   /   /     Email address: \_\_\_\_\_

Phone numbers (Inc STD code): Daytime: \_\_\_\_\_ Evening: \_\_\_\_\_

**Trust Name/Designation**

Trust Name or Designation if appropriate:

Purchases for children must be registered in the name of an adult designated with the name of the child.

**2. Applicant Identity Verification**

**Money Laundering Regulations 2007:**

Under these regulations there is a legal requirement to prove the identity of people who wish to make an investment. You may therefore be asked for some evidence of your identity and date of birth. This will normally be a passport or similar form of identity check together with proof of address from a recent utility bill (not a mobile telephone bill). Electronic checking systems may be used to verify identity. Please note that where a payment is made by a Building Society cheque or Bankers Draft, confirmation of the account from where the funds originate must be provided.

**Do you have an Authorised Financial Adviser?**

Yes, your Authorised Financial Adviser should complete section 8.  No, please complete the following Applicant Identity Verification section.

If you are investing directly without the services of a UK Authorised Financial Adviser we will need to verify your identity. We need to see certified copies\* of either your current passport or full driving licence, and a recent (within the last three months) bank statement or utility bill (not a mobile telephone bill) showing your current address.

**This applies to every applicant named in section 1. \*copies must be certified by either a solicitor, accountant, or your bank/building society manager.**

**Please tick both boxes below to confirm that the relevant identity check documents are enclosed.**

Proof of identity:  Copy of passport **or** full driving licence

Proof of address:  Bank statement **or** utility bill

Proof of date of birth:  Copy of passport **or** full driving licence

(If you **do not** have a passport/full driving licence, please contact us on 0870 606 6453 to discuss other documentation which may be acceptable as proof of identity.)

If certified copies are included with this application pack, please complete the following details for the person(s) certifying the documents  
(continue on a separate sheet if necessary):

**1st Applicant/Trustee**

Certified by: Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Occupation: \_\_\_\_\_

Telephone number: \_\_\_\_\_

**2nd Applicant/Trustee**

Certified by: Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Occupation: \_\_\_\_\_

Telephone number: \_\_\_\_\_

**3rd Applicant/Trustee**

Certified by: Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Occupation: \_\_\_\_\_

Telephone number: \_\_\_\_\_

**4th Applicant/Trustee**

Certified by: Name: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Occupation: \_\_\_\_\_

Telephone number: \_\_\_\_\_

### 3. Fund Choice

Please choose which Funds you wish to invest in and insert an amount in the appropriate box(es) below. You can invest with a lump sum and/or monthly contributions.

**Minimum investment:** £1,000 lump sum, £50 monthly per Fund (£400 per month for the Premier Monthly Income and Premier Corporate Bond Monthly Income Funds).

Premier Income Funds OEIC - R Class Income Shares Only	Lump sum	Monthly*
Premier Alpha Income	£	£
Premier Corporate Bond Monthly Income	£	£
Premier Income	£	£
Premier Monthly Income	£	£
<b>Premier Growth Funds OEIC - R Class Income Shares Only</b>		
Premier Alpha Growth	£	£
Premier Fellowship	£	£
Premier Managed Assets	£	£
Premier Smaller Companies	£	£
Premier UK Mid 250	£	£
Premier UK Thematic	£	£
<b>Total</b>	£	£

\*Monthly contributions must be rounded in £10 amounts. If you have chosen monthly contributions, please complete the direct debit instructions in section 5 and ensure that a cheque, made payable to Premier Portfolio Managers Limited, is submitted with this application form to represent the first contribution. Contributions will be collected from your bank account on the 28th day of the month. Please note that if you invest on a monthly basis, any income will be reinvested.

### 4. Income Payments - for lump sum investors only

This section is for lump sum investors only. Please choose how you would like to receive any income generated from your investment.

If you do not tick either of these boxes, your net income will automatically be reinvested, whereby additional shares will be purchased at minimal cost.

Income reinvested to purchase more shares

Income sent direct to your bank/building society  Please ensure that you complete your bank account details below.

Please complete your account details below so that we can pay your income by direct credit to your bank or building society.

Bank/Building Society: \_\_\_\_\_

Address: \_\_\_\_\_

Postcode: \_\_\_\_\_

Name of account to be credited: \_\_\_\_\_

Sort code:       Account number:

Building Society reference or roll number:

I authorise Premier Portfolio Managers Limited to make payments as instructed in section 4 above, to the bank or building society shown. Premier Portfolio Managers Limited does not accept any responsibility for the quotation of building society account numbers and the quotation of any such number(s) is entirely at the risk of the investor.

Signature: \_\_\_\_\_ Date (DD/MM/YYYY):   /   /



## 5. Direct debit for regular savers

Regular contributions will be collected on the 28th day of every month.



### Instruction to your Bank/Building Society to pay by direct debit

Please fill in the whole form using a ball point pen and send to:  
Premier Portfolio Managers Ltd, BNY Mellon House,  
Ingrave Road, Brentwood, Essex, CM15 8TG.

#### Name and full address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

#### Name(s) of account holder(s)

#### Bank/building society account number

#### Branch sort code

#### Service user number

#### Reference

FOR PREMIER PORTFOLIO MANAGERS LIMITED OFFICAL USE ONLY  
This is not part of the instruction to your bank or building society.

#### Instruction to your bank or building society

Please pay Premier Portfolio Managers Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Premier Portfolio Managers Limited and, if so, details will be passed electronically to my bank/building society.

#### Signature(s)

#### Date

Banks and building societies may not accept Direct Debit Instructions for some types of account.

This Guarantee should be detached and retained by the payer.

### The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debit.
- If there are any changes to the amount, date or frequency of your Direct Debit Premier Portfolio Managers Limited will notify you 14 working days in advance of your account being debited or as otherwise agreed. If you request Premier Portfolio Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of request.
- If an error is made in the payment of your Direct Debit, by Premier Portfolio Managers Limited or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Premier Portfolio Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



## 6. The Declaration and Authority

I/We apply to make the investment(s) in the fund(s) specified. I/We enclose a cheque(s) for the amount(s) stated overleaf made payable to Premier Portfolio Managers Limited. I have received, read and understood the relevant Simplified Prospectus Document.

### Data Protection Act 1998:

- The information you provide on your application form (or subsequently) will be held and processed by Premier Portfolio Managers Limited (a subsidiary of Premier Asset Management Limited) as data controller for the purposes of the Data Protection Act 1998.
- We may hold and process information for the administration of the service(s) for which you are currently applying or may apply for in future, for the operation of your investment in units or shares (including e.g. for registration and distribution purposes), for the purposes of statistical analysis, and the marketing of goods or services by this company or other companies in the Premier Asset Management Marketing Group.
- If you do not want your personal data to be used for marketing purposes, please tick this box.
- We may transfer information to other companies in the Premier Asset Management Marketing Group and to third party agents of such companies or of this company for any of the above purposes.
- Where a financial adviser acts on your behalf, we will disclose information concerning your investment to that financial adviser.
- Save as noted above, we will not provide to any other third party any information relating to you, unless you have given your consent or unless we are required to do so by law.
- You are entitled to request details of information we may hold about you upon payment of a fee and to require us to correct any inaccuracies in your personal data.

### Declaration for Trustees:

We, the undersigned have received and read the relevant Simplified Prospectus Document of the relevant OEIC(s). We appoint Premier Portfolio Managers Limited under the power and authority given to us by the Trust Deed and we delegate to Premier Portfolio Managers Limited the investment of the Trust property (and authorise them to sub-delegate) delivered to Premier Portfolio Managers Limited from time to time on the terms described in the Simplified Prospectus Document. We hereby represent and warrant that we are empowered by the said Trust Deed to delegate our function in the manner described above. **Please submit a certified copy of the Trust Deed with this application in order for us to verify the signature(s) of the Trustee(s). If the application is for a trust investment, we may need to verify the identity of all trustees, depending on the Trust Deed. Please enclose the Trust Deed with this application.**

1st Applicant/Trustee	Signature:	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
2nd Applicant/Trustee	Signature:	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
3rd Applicant/Trustee	Signature:	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
4th Applicant/Trustee	Signature:	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

We may only accept Powers of Attorney (original or certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from Premier Portfolio Managers Limited. In the case of mental incapacity, the Power of Attorney must be registered and stamped by the Court of Protection.

## 7. Financial Adviser Details - to be completed by your Financial Adviser

### Financial Adviser Stamp

FSA number: \_\_\_\_\_

Special Commissions Terms (if applicable): \_\_\_\_\_

Please indicate if this business was:

advised       non-advised

Financial Advisers please complete the following section for all applicants (*please copy this page if necessary before completing*)

**First Applicant:** Date of commencement of business relationship (DD/MM/YYYY):   /   /

**I certify that:** (*please tick as appropriate*)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

*Certified Copy Attached*

Proof of identity:  \_\_\_\_\_

Proof of residency:  \_\_\_\_\_

Proof of date of birth:  \_\_\_\_\_

**OR**

2. I have not verified the identity of the Applicant for the following reason: \_\_\_\_\_
3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signature: \_\_\_\_\_ Date (DD/MM/YYYY):   /   /

Financial Adviser/Consultant name: \_\_\_\_\_

Company name: \_\_\_\_\_

**Further Applicant(s):** Date of commencement of business relationship (DD/MM/YYYY):   /   /

**I certify that:** (*please tick as appropriate*)

1. I have verified the identity of the Applicant in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Applicant's name, address and date of birth as shown in section 1 are correct AND the details of the underlying records of identity are as described below (document name + detail + date e.g. driving licence SMITH625085JT4EG, electricity bill 30.11.07 cus ref 1245678):

*Certified Copy Attached*

Proof of identity:  \_\_\_\_\_

Proof of residency:  \_\_\_\_\_

Proof of date of birth:  \_\_\_\_\_

**OR**

2. I have not verified the identity of the Applicant for the following reason: \_\_\_\_\_
3. I confirm that the Applicant is applying on his/her own behalf and not as nominee, trustee or in a fiduciary capacity for any other person.

Signature: \_\_\_\_\_ Date (DD/MM/YYYY):   /   /

Financial Adviser/Consultant name: \_\_\_\_\_

Company name: \_\_\_\_\_

### ADMINISTRATION QUERIES

For any administration queries, you can contact us at:

**Premier Portfolio Managers Limited, BNY Mellon House, Ingrave Road, Brentwood, Essex CM15 8TG.**

**Tel: 0870 606 6453** Email: [clientservices@premierfunds.co.uk](mailto:clientservices@premierfunds.co.uk) Web: [www.premierassetmanagement.co.uk](http://www.premierassetmanagement.co.uk)

Premier Portfolio Managers Limited and Premier Fund Managers Limited are authorised and regulated by the Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS and are members of the Premier Asset Management Marketing Group. December 2009.